IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peng Cho TANG et al.

Title: TRICYCLIC QUINOXALINE DERIVATIVES AS PROTEIN TYROSINE KINASE INHIBITORS

Appl. No.: 10/714,399

Filing Date: 11/17/2003

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Final OG Notice from Office of Patent Legal Administration dated August 5, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/948,090, filed 09/07/2001, now U.S. Patent No. 6,656,940, which is a divisional of application Serial No. 09/129,139, filed August 4,1998, now U.S. Patent No. 6,329,375. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent applications.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date April 16, 2004

By _____

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				**Application Number**	10/714,399
				Filing Date	11/17/2003
				First Named Inventor	Peng Cho Tang
(use as many sheets as necessary)				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	6	Attorney Docket Number	034536-0893

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	U.S. Patent Document Kind Code[2] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,966,849	A	VALLEE et al.	10-30-1990	
	A2	5,116,843	A	MERTENS et al.	05-26-1992	
	A3	5,217,999	A	LEVITZKI et al.	06-08-1993	
	A4	5,302,606	A	SPADA et al.	04-12-1994	
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X	A8	WO	94/10202	A1	GENENTECH, INC.	05-11-1994		
X	A9	WO	94/03427	A1	WARNER-LAMBERT COMPANY	02-17-1994		
X	A10	WO	92/21660	A1	PFIZER, INC.	12-10-1992		
X	A11	WO	91/15495	A1	PFIZER, INC.	10-17-1991		
X	A12	WO	94/14808	A1	FARMITALIA CARLO ERBA SRL	07-07-1994		
X	A13	WO	92/20642	A1	RHONE-POULENC RORER INTERNATIONAL	11-26-1992		
X	A14	EP	0 566 226	A1	ZENECA LIMITED	11-08-1995		

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Sheet	3	of	6	Attorney Docket Number	034536-0893

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X	A26	DECKER and LOHMANN-MATTHES, "A quick and simple method for the quantitation of lactate dehydrogenase release in measurements of cellular cytotoxicity and tumor necrosis factor (TNK) activity," J. Immunol. Methods 15:61-69 (1988)	
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Sheet 4 of 6

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Application Number	10/714,399
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Sheet 5 of 6

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